



Arizona Automobile Theft Authority

Board of Directors Meeting Minutes

Friday, November 16th, 2018

AATA Office - 1st Floor Conference Room

1110 W. Washington Suite 105, Phoenix AZ 85007

Board Members Attendance:

Matt Reed, Chair, State Farm Insurance Companies

Chief Daniel Sharp, Vice-Chair, Oro Valley Police Department- Commander Kara Riley representing

Sheriff Paul Penzone - Maricopa County Sheriff's Office – Fred Aldorasi, representing

Sheriff Joseph Dedman, Jr.- Apache County Sheriff – **NOT PRESENT**

Joe Brosius – Public Member – Telephonic

Director Eric Jorgensen – Jackie Gentner representing- Telephonic

Director Frank Milstead – Arizona Department of Public Safety, Lt. Col. Daniel Lugo, representing

Chief Michael Soelberg – Gilbert Police Department - **NOT PRESENT**

Bill Montgomery – Maricopa County Attorney's Office, Auto Theft Bureau Chief, Kristin Sherman representing

Dean Butler – Farmers Insurance - Telephonic

Mary Snider- Public Member

AATA Legal Council:

Tom Raine, Assistant Attorney General, AZ Attorney General's Office

Public/Guests:

Captain Paul Etnire- Arizona Vehicle Theft Task Force, Department of Public Safety

Bernie Castillo – Retired Vehicle Theft Task Force, Department of Public Safety

Kent Volkmer- Pinal County Attorney's Office

Robert Smith – Bernard Cook

Eric Taylor – Heinfeld & Meech Co,

Amy Gardner- Mohave County Attorney's Office

Allen Quist- Pinal County Attorney's Office

AATA Staff:

Frederick W. Zumbo, AATA Executive Director

Art Myer, AATA Chief Financial Officer

Ann Armstrong, AATA Grants Administrator

Frank Ceballos, AATA Administrative Service Officer

Amanda O'Halloran, AATA Administrative Assistant III

I. CALL TO ORDER AND ROLL CALL

- Chairman Reed call to order at 10:00am, roll call conducted.

II. AATA BOARD CHAIRMAN'S REPORT – REVIEW, CONSIDERATION AND POSSIBLE ACTION

- Approval of Sept. 21st, Meeting Minutes-
 - Mary Snider made motion to approve the Sept. 21st, 2018 board meeting minutes as presented.
 - Jackie Gentner 2nd.

- Chairman Reed presented a draft of updated AATA Board Policies & Procedures #101 and #103 for board review.
- Chairman Reed presented a draft of 2019 AATA Board meeting schedule
 - Mary Snider made motion to approve the AATA Board meeting schedule
 - Lt. Col Lugo 2nd
 - AATA Board meeting schedule approved.
- Chairman Reed turned floor over to Capt. Etnire, Arizona Vehicle Theft Task Force, and Department of Public Safety.
 - Capt. Etnire presented commendation to (Retired) Detective Bernie Castillo.

III. AATA REPORT – REVIEW, CONSIDERATION, AND POSSIBLE ACTION

- Executive Director Fred Zumbo introduced New AATA Staff member– Mr. Frank Ceballos, Admin. Services Officer.

IV. AATA FINANCIAL UPDATE, DISCUSSION AND POSSIBLE ACTION

- Annual Financial Audit Report & Presentation – Mr. Eric Taylor, Heinfeld, Meech, CPA.
 - Mr. Taylor brought to the board’s attention page 4 and 5 of the Fund Balance and budget, advising the AATA remained in budget indicating the Authority is in good shape.
 - Mr. Taylor pointed out the footnotes behind the financial statements that should be read in conjunction with the financial statement.
 - Mr. Taylor advised there were no significant findings.
- AATA Financial Report for fiscal year ending October 31, 2018.
 - Please see attached documents
 - Discussion about the 2nd quarter payment being made to Arizona Department of Public Safety transpired and the payment schedule for Monday Nov 19th, 2018.
 - It was noted a signed contract not yet been received by AATA but no further discussion in regards to the contract was discussed as topic was not on the agenda.

V. AATA PUBLIC AWARENESS & GRANT PROGRAMS REPORT

- 2018, 2019 Auto Theft Prevention Campaigns, Events & Trainings.
 - Ms. Armstrong advised of past events for October – G.A.I.N. events and public awareness efforts.
 - Ms. Armstrong advised of Nov. 1st AATA VIN Etching event and thanked those guests that attended the event.
 - Ms. Armstrong advised the upcoming training and Industry Awareness Grants for the Vehicle Theft Task Force to host an Arizona Auto Theft and Metal Summit in April of 2019, in Flagstaff.
 - Grants for other agencies to attend this event will be available Dec. 1st thru March 1st.
 - Ms. Armstrong advised plans for 2019 are starting to form with March 2nd in Pinal County, which kicks off Auto Theft Prevention month.
 - Ms. Armstrong turned over the floor to Quartzsite Police Department, Chief Ponce and Officer Tanakeyowma.
 - Chief Ponce offered thanked the AATA on behalf of the Western Region Law Enforcement Agencies.

- VI. LAW ENFORCEMENT SUBCOMMITTEE REPORT, DISCUSSION AND POSSIBLE ACTION
 - Commander Riley in place of Chief Sharp- Nothing to Report
- VII. PUBLIC AWARENESS SUBCOMMITTEE REPORT, DISCUSSION AND POSSIBLE ACTION
 - Joe Brosius – Nothing to Report.
- VIII. LEGISLATIVE SUBCOMMITTEE REPORT, DISCUSSION AND POSSIBLE ACTION
 - Chairman Reed- Advised he is working with lobbyist to start making appointments with legislators
- IX. VERTICAL PROSECUTION SUBCOMMITTEE & AUTO THEFT PROSECUTORS REPORT, DISCUSSION AND POSSIBLE ACTION
 - Mohave County – Amy Gardner gave summary of a current case pertaining to an Uber driver.
 - Pinal County – Allen Quist – gave summary update of a case pertaining to a school break in and several vehicle thefts.
 - Maricopa County – Kristen Sherman advised no report today.
- X. ARIZONA VEHICLE THEFT TASK FORCE REPORT, DISCUSSION AND POSSIBLE ACTION
 - General Update, Report on Task
 - Captain Etnire recapped current Task Force stats and case antidotes.
 - Captain Etnire advised that several trainings, new task force members and new equipment have been placed on hold due to funding.
- XI. CALL TO THE PUBLIC
 - Chairman Reed made call to the public. No response.
- XII. REPORTS ON CURRENT EVENTS, MATTERS OF BOARD PROCEDURE, REQUESTS AND ITEMS FOR FUTURE AGENDAS:
 - Mary Snider has requested an agenda item for next meeting concerning the delay in payment to the Arizona Department of Public Safety
- XIII. DATE - TIME – LOCATION OF NEXT MEETING
 - Friday, January 25, 2019, 10am – AATA Building - 1st Floor Conf. Rm #105 - 1110 W. Washington Street, Phoenix AZ 85007.
- XIV. ADJOURNMENT
 - Meeting adjourned at 10:41 AM

Dated this 25 day of January, 2019.

Arizona Automobile Theft Authority

By: Matt Reed

Matt Reed, AATA Board Chairman



Frederick W. Zumbo
Executive Director

ARIZONA AUTOMOBILE THEFT AUTHORITY

M E M O R A N D U M

DATE: November 16, 2018

TO: AATA Board of Directors

FROM: Art Myer, Chief Financial Officer

SUBJECT: AATA Financial Report Summary for the four months ending October 31, 2018, and the Projected (Revised) FY'19 spending plan.

AATA Financial Summary as of October 31, 2018

Schedule 1 - Fund Balance Report

1. Total YTD recorded income, **Line 2: \$3,295,121.**
2. Total expended (excludes Reimbursable Programs), **Line 3: \$426,084.**
3. Legislative Transfers, **Line 4: \$0.**
4. Total expended Reimbursable Programs, **Line 5: \$0.**
5. Adjustments, **Line 6: \$0.**
6. Encumbrances, **Line 11: \$0**
7. Ending Fund Balance, per AFIS, **Line 13: \$5,813,145.**

Schedule 2 - YTD Revenue

1. Insurance revenue received for the first and second assessment periods of the current fiscal year (FY19), **Line 1: \$3,268,456.**
2. Reimbursable Programs, **Line 5: \$0.**
3. Investment income YTD, **Line 8: \$26,666.**
4. Refunds of Unused Grant Funds (Prior Year), **Line 9: \$0.**
5. Total Income, **Line 11: \$3,295,121.**
6. Cash Invested with State Treasurer, **Line 12: \$5,722,083.**

7. Line 13 & 14: Current Yield (1 Month): 2.21%, YTD Yield: 2.15 %

Schedule 2.1 – YTD Revenue Analysis

1. **Insurance assessment revenue received to date for the first assessment period of FY'19, Line 11: \$3,268,456. Projected assessment revenue for the period, \$3,258,747; amount collected approximately \$9,709 (0.29%) more than the projection, and \$119,986 (3.81%) above prior period. Collected amounts: By check-\$1,945,220, by ACH-\$1,323,236.**
2. **Insurance assessment revenue received to date for the second assessment period of FY'19, Line 21: \$NA. Projected assessment revenue for the period, \$3,258,748.**
3. YTD assessment revenue, percentage realized, **Line 23: 50.15%** of the projected revenue for the year. Projected revenue for FY 19: \$6,517,495.
4. Compliance: First collection period for FY19 is complete.
5. No companies outstanding.
6. There are no extensions outstanding.
7. Current Collection Totals for FY'19: \$3,268,456. Projected revenue for FY'19 \$3,258,747. Amount collected by check: \$1,945,220 amount collected by ACH: \$1,323,236.

Schedule 3 – Appropriations (represents a summary of budget and expenditures by category/grant program)

1. Lump sum appropriation (original) \$637,800. Adjustments (BOB): Increase \$9,100 (Rent Adjustment), Increase \$1,000 (Retirement), Increase \$3,000 (Health Insurance), and Increase \$300 (IT Pro rata), **net increase of \$13,400. Total operating Lump Sum appropriation (including adjustments), Line 11: \$651,200.**
2. Reimbursable Programs, **Line 19: \$50,000.** Appropriation by the Legislature giving the AATA the authority to raise and with the approval of the JLBC, expend the additional \$50,000.
3. No Legislative (budget) fund sweeps are currently planned for FY19.
4. Total Special Line Items, **Line 21: \$4,657,700.** (Reimbursable Programs: \$50,000 plus **Grants: \$4,607,700=\$4,657,700**)
5. Total current year appropriations (FY19), **Line 22: \$5,308,900.**

Schedule 4 - Activity Summary (represents a summary of expenditures/encumbrances by program area)

1. Total Administrative Expenditures, **Line 1: \$134,663.**
2. Total Law Enforcement (Grant) Expenditures, **Line 2: \$0.**
3. Total Vertical Prosecution (Grant) Expenditures, **Line 3: \$231,625.**
4. Total Programs/Public Awareness Expenditures, **Line 4: \$59,795.**
5. Total Investigator/Special Projects Expenditures, **Line 5: \$0.**

6. Total Reimbursable Program Expenditures, **Line 6: \$0.**
7. Total Legislative Transfer, Line 7: \$0.
8. Total Legislative Transfer, Line 8: \$0.
9. Total Encumbrances, (Encumbered Column) **Line 10: \$0.**
10. Total of all Expenditures, (Expended Column) **Line 10: \$426,084.**
11. The statutory spending cap is 10% of revenue for administrative expenses. The administrative expenses percentage projected to be 6.26% and is currently, **Line 11: 4.09%.**
12. **Line 12:** is the ratio of administrative expenses to total expenses. Projected to be 7.71% and currently **31.60%** of total agency expenditures.

Schedules 5-9 - Provide detail of AATA expenditures by program area.

Schedule 10 – Non-Appropriated Funds (Sims Metal Management)

1. Beginning Balance, **Line 1: \$17,283.**
2. Travel: In-State, **Line 9: \$0**
3. Aid to Organizations, **Line 11: \$(557).**
4. Other Operating Expenses, **Line 12: \$0.**
5. Transfers-Out, **Line 14: \$0.**
6. Total Expenditures, **Line 15: \$(557).**
7. Encumbrances, Line 16: \$0.
8. Ending Balance, **Line 17: \$17,840.**



Schedule 11 – Projected (Revised) FY '19 Spending Plan (Q1-Q4).

Quarter 1: July (Actual)

1. Beginning FY19 Fund Balance, **Line 1: \$2,944,107**
2. Investment Income, **Line 2: \$0**
3. Insurance Collections, **Line 3: \$1,047,278**
4. Transfer to Special Project, **Line 7: \$0**
5. Total Lump Sum expenditures, **Line 13: \$32,676**
6. Total Grant expenditures, **Line 24: \$0**
7. **Ending fund balance, Line 26: \$3,958,710**

August (Actual)

1. Beginning Fund Balance, **Line 1: \$3,958,710**
2. Investment Income, **Line 2: \$5,396**

3. Insurance Collections, **Line 3: \$2,220,645**
4. Total Lump Sum expenditures, **Line 13: \$49,475**
5. Total Grant expenditures, **Line 24: \$56,875**
6. **Ending fund balance, Line 26: \$6,078,401**

September (Actual)

1. Beginning Fund Balance, **Line 1: \$6,078,401**
2. Investment Income, **Line 2: \$10,338**
3. Insurance Collections, **Line 3: \$533**
4. Total Lump Sum expenditures, **Line 13: \$77,549**
5. Total Grant expenditures, **Line 24: \$58,938**
6. **Ending fund balance, Line 26: \$5,952,785**

Quarter 2: October (Actual)

1. Beginning Fund Balance, **Line 1: \$5,952,785**
2. Investment Income, **Line 2: \$10,931**
3. Insurance Collections, **Line 3: \$0**
4. Total Lump Sum expenditures, **Line 13: \$34,759**
5. Total Grant expenditures, **Line 24: \$115,812**
6. **Ending fund balance, Line 26: \$5,813,145**

November (Projected)

1. Beginning Fund Balance, **Line 1: \$5,813,145**
2. Investment Income, **Line 2: \$0**
3. Insurance Collections, **Line 3: \$0**
4. Total Lump Sum expenditures, **Line 13: \$31,231**
5. Total Grant expenditures, **Line 24: \$1,153,035**
6. **Ending fund balance, Line 26: \$4,628,879**

December (Projected)

1. Beginning Fund Balance, **Line 1: \$4,628,879**
2. Investment Income, **Line 2: \$0**
3. Insurance Collections, **Line 3: \$0**
4. Total Lump Sum expenditures, **Line 13: \$31,267**
5. Total Grant expenditures, **Line 24: \$919,189**
6. **Ending fund balance, Line 26: \$3,678,423**

Quarter 3: January (Projected)

1. Beginning Fund Balance, **Line 1: \$3,678,423**
2. Investment Income, **Line 2: \$0**
3. Insurance Collections, **Line 3: \$0**
4. Total Lump Sum expenditures, **Line 13: \$74,808**
5. Total Grant expenditures, **Line 24: \$0**
6. **Ending fund balance, Line 26: \$3,603,615**

February (Projected)

1. Beginning Fund Balance, **Line 1: \$3,603,615**
2. Investment Income, **Line 2: \$0**
3. Insurance Collections, **Line 3: \$3,258,748**
4. Total Lump Sum expenditures, **Line 13: \$41,715**
5. Total Grant expenditures, **Line 24: \$1,145,235**
6. **Ending fund balance, Line 26: \$5,675,412**

March (Projected)

1. Beginning Fund Balance, **Line 1: \$5,675,413**
2. Investment Income, **Line 2: \$0**
3. Insurance Collections, **Line 3: \$0**
4. Total Lump Sum expenditures, **Line 13: \$46,276**
5. Total Grant expenditures, **Line 24: \$6,690**
6. **Ending fund balance, Line 26: \$5,622,447**

Quarter 4: April (Projected)

1. Beginning Fund Balance, **Line 1: \$5,622,447**
2. Investment Income, **Line 2: \$0**
3. Insurance Collections, **Line 3: \$0**
4. Total Lump Sum expenditures, **Line 13: \$53,878**
5. Total Grant expenditures, **Line 24: \$1,145,236**
6. **Ending fund balance, Line 26: \$4,423,333**

May (Projected)

1. Beginning Fund Balance, **Line 1: \$4,423,333**
2. Investment Income, **Line 2: \$0**
3. Insurance Collections, **Line 3: \$0**
4. Total Lump Sum expenditures, **Line 13: \$53,884**
5. Total Grant expenditures, **Line 24: \$0**
6. **Ending fund balance, Line 26: \$4,369,449**

June (Projected)

1. Beginning Fund Balance, **Line 1: \$4,369,449**
 2. Investment Income, **Line 2: \$7,500**
 3. Insurance Collections, **Line 3: \$0**
 4. Total Lump Sum expenditures, **Line 13: \$55,040**
 5. Total Grant expenditures, **Line 24: \$6,690**
 6. **Ending fund balance, Line 26: \$4,315,219**
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FY19 Budget Highlights:

1. **Lump Sum: \$637,800 (Original)**
2. **Lump Sum: 651,200 (BOB Adjustment): \$9,100 increase (Rent), \$1,000 increase (Retirement), \$3,000 increase (Health Insurance), \$300 increase (IT Pro Rata). Net change: \$13,400 increase.**
3. **Lump Sum (Adjusted): \$651,200**

Special Line Items: \$4,607,700

4. **Arizona Vehicle Theft Task Force: \$3,650,000**
5. **Local Grants: \$957,700**
6. **Reimbursable Programs: \$50,000**

Total Special Line Items: \$4,657,700 (Grant Programs: \$4,607,700)

Total FY19 Appropriation: \$5,308,900

Grant Program Breakdown:

Task Force: \$3,650,000
Vertical Prosecution: \$930,942
Public Awareness: \$23,758
Law Enforcement Grants: \$1,000
Professional Training: \$1,000
Emergency/Discretionary: \$1,000

Agency Audit: The agency is currently under audit by Henifield, Meech & Co., P.C.

The audit will be completed and reported on at the November Board Meeting.

Cost: \$7,100

ARIZONA AUTOMOBILE THEFT AUTHORITY



FY 2019

FINANCIAL REPORT

(RECONCILED TO AFIS)

FOR THE FOUR MONTHS ENDED OCTOBER 31, 2018



ARIZONA AUTO THEFT AUTHORITY FUND
FINANCIAL REPORT
FOR THE FISCAL YEAR ENDED OCTOBER 31, 2018

Schedule 1: FUND BALANCE REPORT

LINE #	<u>FY 2017</u>	<u>FY 2018</u>	<u>PROJECTED FY2019</u>	<u>YTD FY2019</u>
1 BEGINNING FUND BALANCE	\$ 3,722,546	\$ 1,765,637	\$ 2,944,107	\$ 2,944,107
2 INCOME	\$ 6,256,849	\$ 6,378,118	\$ 6,532,495	\$ 3,295,121
3 EXPENDITURES (Line #3 Excludes Reimbursable & Leg Transfers)	\$ (5,227,787)	\$ (5,199,649)	\$ (5,258,900)	\$ (426,084)
4 LEGISLATIVE TRANSFERS (Border Strike Force & E-Procurement)	\$ (3,000,500)	\$ -	\$ -	\$ -
5 REIMBURSABLE PROGRAMS	\$ -	\$ -	\$ -	\$ -
6 ADJUSTMENTS	\$ 11,432	\$ -	\$ -	\$ -
7 ENDING FUND BALANCE	<u>\$ 1,762,539</u>	<u>\$ 2,944,106</u>	<u>\$ 4,217,702</u>	<u>\$ 5,813,145</u>
8 NET INCREASE/DECREASE	<u>\$ (1,960,006)</u>	<u>\$ 1,178,469</u>	<u>\$ 1,273,595</u>	<u>\$ 2,869,037</u>
RECONCILIATION OF FUND BALANCE				
9 ENDING FUND BALANCE (LINE7)	\$ 1,762,539	\$ 2,944,105		\$ 5,813,145
10 PRIOR YEAR SECURITY PROJECT REFUND	\$ 3,098	\$ -		\$ -
11 ENCUMBRANCES	\$ -	\$ -		\$ -
12	\$ -	\$ -		\$ -
13 FUND BALANCE PER AFIS	<u>\$ 1,765,637</u>	<u>\$ 2,944,107</u>		<u>\$ 5,813,145</u>

ARIZONA AUTO THEFT AUTHORITY FUND
FINANCIAL REPORT
FOR THE FISCAL YEAR ENDED OCTOBER 31, 2018

SCHEDULE 2: YTD REVENUE

LINE #	FY 2017	FY 2018	PROJECTED FY 2019	YTD REVENUE FY 2019	UNREALIZED REVENUE FY 2019	PERCENT* REALIZED FY2019
1	\$ 6,225,788	\$ 6,327,665	\$ 6,517,495	\$ 3,268,456	\$ (3,249,040)	50.15%
2	\$ -	\$ -	\$ -	\$ -	\$ -	
3	\$ -	\$ -	\$ -	\$ -	\$ -	
4	\$ -	\$ -	\$ -	\$ -	\$ -	
5	\$ -	\$ -	\$ -	\$ -	\$ -	
6	\$ -	\$ -	\$ -	\$ -	\$ -	
7	\$ -	\$ -	\$ -	\$ -	\$ -	
8	\$ 31,061	\$ 48,548	\$ 15,000	\$ 26,666	\$ 11,666	177.77%
9	\$ -	\$ 1,905	\$ -	\$ -	\$ -	
10	\$ -	\$ -	\$ -	\$ -	\$ -	
11	<u>\$ 6,256,849</u>	<u>\$ 6,378,118</u>	<u>\$ 6,532,495</u>	<u>\$ 3,295,121</u>	<u>\$ (3,237,374)</u>	50.44%

12 INVESTMENT WITH STATE TREASURER: OCTOBER 31, 2018

\$ 5,722,083

13 CURRENT YIELD (1 Month)

2.21%

14 YTD YIELD

2.15%

ARIZONA AUTO THEFT AUTHORITY FUND
FINANCIAL REPORT
FOR THE FISCAL YEAR ENDED OCTOBER 31, 2018

SCHEDULE 3: BY APPROPRIATION	<u>FY2017</u>	<u>FY2018</u>	<u>ALLOCATED FY 2019</u>	<u>ENCUMBERED FY 2019</u>	<u>EXPENDED FY 2019</u>	<u>AVAILABLE FY 2019</u>
1 PERSONAL SERVICES	\$ 312,944	\$ 317,907	\$ 340,718	\$ -	\$ 100,576	\$ 240,142
2 ERE	\$ 122,555	\$ 119,700	\$ 142,661	\$ -	\$ 34,802	\$ 107,859
3 OUTSIDE SERVICES	\$ 33,668	\$ 12,229	\$ 16,050	\$ -	\$ 1,779	\$ 14,271
4 TRAVEL IN-STATE	\$ 1,130	\$ 6,163	\$ 6,250	\$ -	\$ 1,312	\$ 4,938
5 TRAVEL OUT-STATE	\$ 3,684	\$ 3,492	\$ 8,500	\$ -	\$ 1,768	\$ 6,732
6 AID TO ORGANIZATIONS	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
7 OTHER OPER. EXPENSES	\$ 128,873	\$ 87,602	\$ 112,021	\$ -	\$ 53,959	\$ 58,062
8 EQUIPMENT	\$ 19,877	\$ 35,783	\$ 25,000	\$ -	\$ 263	\$ 24,737
9 CAPITAL OUTLAY (OFFICE SECURITY)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
10 TRANSFERS-OUT (TO GF-Approp Reduct)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
11 OPERATING TOTAL	<u>\$ 622,731</u>	<u>\$ 582,876</u>	<u>\$ 651,200</u>	<u>\$ -</u>	<u>\$ 194,458</u>	<u>\$ 456,742</u>
12 GRANT TO TASK FORCE	\$ 3,650,000	\$ 3,650,000	\$ 3,650,000	\$ -	\$ -	\$ 3,650,000
13 OTHER LAW ENFORCEMENT GRANTS	\$ 81,916	\$ 37,842	\$ 1,000	\$ -	\$ -	\$ 1,000
14 PROFESSIONAL TRAINING GRANTS	\$ 3,000	\$ -	\$ 1,000	\$ -	\$ -	\$ 1,000
15 EMERGENCY/DISCRETIONARY GRANTS	\$ -	\$ -	\$ 1,000	\$ -	\$ -	\$ 1,000
16 VERTICAL PROSECUTION GRANTS	\$ 870,141	\$ 905,164	\$ 930,942	\$ -	\$ 231,625	\$ 699,317
17 PUBLIC AWARENESS GRANT PROGRAM	\$ -	\$ 3,567	\$ 23,758	\$ -	\$ -	\$ 23,758
18 SPECIAL GRANTS	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
19 REIMBURSABLE PROGRAMS	\$ -	\$ -	\$ 50,000	\$ -	\$ -	\$ 50,000
20 LEGISLATIVE FUND SWEEPS	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
21 TOTAL SPECIAL LINE ITEMS	<u>\$ 4,605,057</u>	<u>\$ 4,596,573</u>	<u>\$ 4,657,700</u>	<u>\$ -</u>	<u>\$ 231,625</u>	<u>\$ 4,426,075</u>
22 TOTAL EXPENDITURES	<u>\$ 5,227,788</u>	<u>\$ 5,179,449</u>	<u>\$ 5,308,900</u>	<u>\$ -</u>	<u>\$ 426,084</u>	<u>\$ 4,882,816</u>

ARIZONA AUTO THEFT AUTHORITY FUND
FINANCIAL REPORT
FOR THE FISCAL YEAR ENDED OCTOBER 31, 2018

SCHEDULE 4: ACTIVITY SUMMARY

LINE #	<u>FY2017</u>	<u>FY2018</u>	<u>ALLOCATED FY 2019</u>	<u>ENCUMBERED FY 2019</u>	<u>EXPENDED FY 2019</u>	<u>AVAILABLE FY 2019</u>
1 ADMINISTRATION	\$ 391,349	\$ 361,151	\$ 409,221	\$ -	\$ 134,663	\$ 274,558
2 LAW ENFORCEMENT	\$ 3,734,916	\$ 3,687,842	\$ 3,653,000	\$ -	\$ -	\$ 3,653,000
3 VERTICAL PROSECUTION	\$ 870,141	\$ 905,164	\$ 930,942	\$ -	\$ 231,625	\$ 699,317
4 PROGRAMS/PUBLIC AWARENESS (Includes PA Grants)	\$ 231,382	\$ 245,492	\$ 264,537	\$ -	\$ 59,795	\$ 204,742
5 INVESTIGATOR/SPECIAL PROJECTS	\$ -	\$ -	\$ 1,200	\$ -	\$ -	\$ 1,200
6 REIMBURSABLE PROGRAMS	\$ -	\$ -	\$ 50,000	\$ -	\$ -	\$ 50,000
7 LEGISLATIVE TRANSFER (Border Strike Force)	\$ 3,000,000	\$ -	\$ -	\$ -	\$ -	\$ -
8 LEGISLATIVE TRANSFER (E-Procurement System)	\$ 500	\$ -	\$ -	\$ -	\$ -	\$ -
9 LEG/AFIS TRANSFER (INDEX 91007)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
10 TOTAL EXPENDITURES	<u>\$ 8,228,288</u>	<u>\$ 5,199,649</u>	<u>\$ 5,308,900</u>	<u>\$ -</u>	<u>\$ 426,084</u>	<u>\$ 4,882,816</u>

11 STATUTORY SPENDING CAP		Actual
RATIO OF ADMIN COST TO ACTUAL INCOME (10% STATUTORY CAP)	Projected	10/31/2018
6.25%	6.26%	4.09%

12 ADMINISTRATIVE EXPENSES AS A % OF TOTAL EXPENSES (LEG. MEASURE)		31.60%
4.76%	7.71%	

ARIZONA AUTO THEFT AUTHORITY FUND
FINANCIAL REPORT
FOR THE FISCAL YEAR ENDED OCTOBER 31, 2018

SCHEDULE 5: ADMINISTRATIVE EXPENSE SUMMARY

LINE#	<u>FY2017</u>	<u>FY2018</u>	<u>ALLOCATED FY 2019</u>	<u>ENCUMBERED FY 2019</u>	<u>EXPENDED FY 2019</u>	<u>AVAILABLE FY 2019</u>
1 PERSONAL SERVICES	\$ 173,406	\$ 180,615	\$ 200,607	\$ -	\$ 57,887	\$ 142,720
2 ERE	\$ 68,955	\$ 69,075	\$ 84,826	\$ -	\$ 20,546	\$ 64,280
3 OUTSIDE PROFESSIONAL	\$ 24,243	\$ 10,620	\$ 10,350	\$ -	\$ 1,400	\$ 8,950
4 TRAVEL IN-STATE	\$ 210	\$ 1,845	\$ 2,500	\$ -	\$ -	\$ 2,500
5 TRAVEL OUT-STATE	\$ 3,247	\$ 2,720	\$ 6,250	\$ -	\$ 1,768	\$ 4,482
6 OTHER OPER. EXPENSES	\$ 101,411	\$ 41,810	\$ 87,688	\$ -	\$ 52,799	\$ 34,889
7 EQUIPMENT (CAPITAL & NON CAPITAL)	\$ 19,877	\$ 34,266	\$ 17,000	\$ -	\$ 263	\$ 16,737
8 CAPITAL OUTLAY (OFFICE SECURITY)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
9 TRANSFERS-OUT		\$ 20,200				
10 TOTAL ADMIN EXPENDITURES	<u>\$ 391,349</u>	<u>\$ 361,151</u>	<u>\$ 409,221</u>	<u>\$ -</u>	<u>\$ 134,663</u>	<u>\$ 274,558</u>

ARIZONA AUTO THEFT AUTHORITY FUND
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FOR THE FISCAL YEAR ENDED OCTOBER 31, 2018

SCHEDULE 6: GRANTS

LINE #	<u>FY2017</u>	<u>FY2018</u>	<u>ALLOCATED FY 2019</u>	<u>ENCUMBERED FY 2019</u>	<u>EXPENDED FY 2019</u>	<u>AVAILABLE FY 2019</u>
<u>LAW ENFORCEMENT</u>						
1	\$ 3,650,000	\$ 3,650,000	\$ 3,650,000	\$ -	\$ -	\$ 3,650,000
2	\$ 81,916	\$ 37,842	\$ 1,000	\$ -	\$ -	\$ 1,000
3	\$ 3,000	\$ -	\$ 1,000	\$ -	\$ -	\$ 1,000
4	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
5	\$ -	\$ -	\$ 1,000	\$ -	\$ -	\$ 1,000
6	<u>\$ 3,734,916</u>	<u>\$ 3,687,842</u>	<u>\$ 3,653,000</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ 3,653,000</u>
<u>VERTICAL PROSECUTION</u>						
7	\$ 870,141	\$ 905,164	\$ 930,942	\$ -	\$ 231,625	\$ 699,317
8	<u>\$ 870,141</u>	<u>\$ 905,164</u>	<u>\$ 930,942</u>	<u>\$ -</u>	<u>\$ 231,625</u>	<u>\$ 699,317</u>
<u>PUBLIC AWARENESS GRANTS</u>						
9	\$ -	\$ 3,567	\$ 23,758	\$ -	\$ -	\$ 23,758
10	<u>\$ -</u>	<u>\$ 3,567</u>	<u>\$ 23,758</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ 23,758</u>
<u>REIMBURSABLE PROGRAMS</u>						
11	\$ -	\$ -	\$ 50,000	\$ -	\$ -	\$ 50,000
12	<u>\$ -</u>	<u>\$ -</u>	<u>\$ 50,000</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ 50,000</u>
13	<u>\$ 4,605,057</u>	<u>\$ 4,596,573</u>	<u>\$ 4,657,700</u>	<u>\$ -</u>	<u>\$ 231,625</u>	<u>\$ -</u>

ARIZONA AUTO THEFT AUTHORITY FUND
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SCHEDULE 7: PROGRAMS/PUBLIC AWARENESS

LINE #	<u>FY2017</u>	<u>FY2018</u>	<u>ALLOCATED FY 2019</u>	<u>ENCUMBERED FY 2019</u>	<u>EXPENDED FY 2019</u>	<u>AVAILABLE FY 2019</u>
<u>PROGRAMS/PUBLIC AWARENESS</u>						
1	\$ 139,538	\$ 137,292	\$ 140,111	\$ -	\$ 42,689	\$ 97,422
2	\$ 53,600	\$ 50,625	\$ 57,835	\$ -	\$ 14,256	\$ 43,579
3	\$ 9,425	\$ 1,609	\$ 5,700	\$ -	\$ 379	\$ 5,321
4	\$ 920	\$ 4,318	\$ 3,750	\$ -	\$ 1,312	\$ 2,438
5	\$ 437	\$ 772	\$ 2,250	\$ -	\$ -	\$ 2,250
6	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
7	\$ 27,462	\$ 45,792	\$ 23,133	\$ -	\$ 1,160	\$ 21,973
8	\$ -	\$ 1,517	\$ 8,000	\$ -	\$ -	\$ 8,000
9	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
10	<u>\$ 231,382</u>	<u>\$ 241,925</u>	<u>\$ 240,779</u>	<u>\$ -</u>	<u>\$ 59,795</u>	<u>\$ 180,984</u>
<u>PUBLIC AWARENESS GRANTS</u>						
11	\$ -	\$ 3,567	\$ 23,758	\$ -	\$ -	\$ 23,758
12	<u>\$ -</u>	<u>\$ 3,567</u>	<u>\$ 23,758</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ 23,758</u>
13	<u>\$ 231,382</u>	<u>\$ 245,492</u>	<u>\$ 264,537</u>	<u>\$ -</u>	<u>\$ 59,795</u>	<u>\$ 204,742</u>

ARIZONA AUTO THEFT AUTHORITY FUND
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 FOR THE FISCAL YEAR ENDED OCTOBER 31, 2018

SCHEDULE 8: INVESTIGATOR/SPECIAL PROJECTS

LINE#	<u>FY2017</u>	<u>FY2018</u>	<u>ALLOCATED FY 2019</u>	<u>ENCUMBERED FY 2019</u>	<u>EXPENDED FY 2019</u>	<u>AVAILABLE FY 2019</u>
1 PERSONAL SERVICES	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
2 ERE	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
3 OUTSIDE PROFESSIONAL	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
4 TRAVEL IN-STATE	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
5 TRAVEL OUT-STATE	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
6 OTHER OPER. EXPENSES	\$ -	\$ -	\$ 1,200	\$ -	\$ -	\$ 1,200
7 EQUIPMENT (CAPITAL & NON CAPITAL)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
8 TRANSFERS-OUT	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
9 TOTAL SPECIAL PROJECTS	<u>\$ -</u>	<u>\$ -</u>	<u>\$ 1,200</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ 1,200</u>

ARIZONA AUTO THEFT AUTHORITY FUND
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 FOR THE FISCAL YEAR ENDED OCTOBER 31, 2018

SCHEDULE 9: REIMBURSABLE PROGRAMS

LINE #	<u>FY2017</u>	<u>FY2018</u>	<u>ALLOCATED FY 2019</u>	<u>ENCUMBERED FY 2019</u>	<u>EXPENDED FY 2019</u>	<u>AVAILABLE FY 2019</u>
1 PERSONAL SERVICES	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
2 ERE	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
3 OUTSIDE SERVICES	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
4 TRAVEL IN-STATE	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
5 TRAVEL OUT-STATE	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
6 AID TO ORGANIZATIONS	\$ -	\$ -	\$ 25,000	\$ -	\$ -	\$ 25,000
7 OTHER OPER. EXPENSES	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
8 CAPITAL	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
9 TRANSFERS-OUT	\$ -	\$ -	\$ 25,000	\$ -	\$ -	\$ 25,000
10 TOTAL REIMBURSABLE PROGRAM EXPENDITURES	<u>\$ -</u>	<u>\$ -</u>	<u>\$ 50,000</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ 50,000</u>

ARIZONA AUTO THEFT AUTHORITY FUND
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 FOR THE FISCAL YEAR ENDED OCTOBER 31, 2018
SCHEDULE 10: NON-APPROPRIATED FUNDS (SIMS METAL MANAGEMENT)

	<u>FY 2017</u>	<u>FY 2018</u>	<u>FY 2019</u>
NON-APPROPRIATED FUNDS			
1 BEGINNING BALANCE	\$ 103,000	\$ 29,664	\$ 17,283
REVENUE			
2 COURT AWARD(S)	\$ -	\$ -	-
3 MISCELLANEOUS INCOME	\$ -	\$ -	-
4 TOTAL NON-APPROPRIATED REVENUE	\$ -	\$ -	-
5 TOTAL NON-APPROPRIATED FUNDS	\$ 103,000	\$ 29,664	\$ 17,283
<hr/>			
EXPENDITURES			
6 PERSONAL SERVICES	\$ -	\$ -	-
7 ERE	\$ -	\$ -	-
8 OUTSIDE SERVICES	\$ -	\$ -	-
9 TRAVEL IN-STATE	\$ 2,999	\$ 315	-
10 TRAVEL OUT-STATE	\$ -	\$ -	-
11 AID TO ORGANIZATIONS	\$ 35,360	\$ 9,394	\$ (557)
12 OTHER OPER. EXPENSES	\$ 15,319	\$ 1,100	-
13 EQUIPMENT	\$ -	\$ -	-
14 TRANSFERS-OUT	\$ 19,657	\$ 1,572	-
15 TOTAL EXPENDITURES	\$ 73,336	\$ 12,381	\$ (557)
16 ENCUMBRANCES	\$ -	\$ -	-
17 ENDING FUND BALANCE PER AFIS	\$ 29,664	\$ 17,283	\$ 17,840

Prepared: 1/13/18

**ARIZONA AUTO THEFT AUTHORITY
SPENDING PLAN
FY 2019 Q1-Q4
(Actual/Projected)**

Schedule 11
Revised: FY'19 Actual/Projected Spending Plan

line #		Actual 2018				Projected 2018				Projected 2019				Original Proj. 7/1/2018	Projected July 2019	Original Appropriation (Adj) Working #:
		July	August	September	October	November	December	January	February	March	April	May	June			
1	Current Fund Balance AFIS	2,944,107	3,958,710	6,078,401	5,952,785	5,813,145	4,628,879	3,678,423	3,603,615	5,675,413	5,622,447	4,423,333	4,369,449	4,315,219	Starting FB	
2	Investment w/State Tres.	-	5,396	10,338	10,931	-	-	-	-	-	-	-	-	7,500	\$ 34,166	\$ 34,166
3	Insurance Collections	1,047,278	2,220,645	533	-	-	-	3,258,748	-	-	-	-	-	-	\$ 6,527,204	\$ 6,527,204
4	Additions to Fund Balance	-	-	-	-	-	-	-	-	-	-	-	-	-	-	\$ 6,561,369
5	Total Fund Balance Available	3,991,385	6,184,751	6,089,272	5,963,716	5,813,145	4,628,879	3,678,423	3,603,615	5,675,413	5,622,447	4,423,333	4,376,949	\$ 4,376,949	\$ 4,376,949	Total Revenue
6	Projects (Reduce Cash)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
7	Transfer to Special Project	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
8	Total Available Fund Balance	3,991,385	6,184,751	6,089,272	5,963,716	5,813,145	4,628,879	3,678,423	3,603,615	5,675,413	5,622,447	4,423,333	4,376,949	-	-	-
9	Expenditures by Program (Lump Sum):															
10	Administrative: 40000	19,970	30,044	62,785	21,864	12,398	12,433	49,968	25,006	27,331	33,797	33,797	34,712	34,712	364,105	409,221
11	Programs/Public Awareness: 41111	12,705	19,431	14,765	12,894	18,733	18,734	24,740	16,609	18,845	19,981	19,987	20,228	20,228	217,652	240,779
12	Investigator/Special Projects: 42222	-	-	-	-	100	100	100	100	100	100	100	100	100	800	1,200
13	Total ATA Operating Expenses (LS):	32,676	49,475	77,549	34,759	31,231	31,267	74,808	41,715	46,276	53,878	53,884	55,040	55,040	582,557	651,200
14	Fund Balance After Fund Sweep & ATA Monthly Operating Expenses	3,958,710	6,135,276	6,011,723	5,928,958	5,781,914	4,597,612	3,603,615	6,820,648	5,629,137	5,568,569	4,369,449	4,321,909	4,321,909		
15	Reimbursable Programs															
16	Special Line Items (Grants)															
17	Task Force	-	-	-	-	912,500	912,500	-	912,500	-	912,500	-	-	-	3,650,000	3,650,000
18	Vertical Prosecution Grants	-	56,875	58,938	115,812	233,846	-	-	232,735	-	232,736	-	-	-	930,942	930,942
19	Public Awareness Grants	-	-	-	-	5,939	5,939	-	-	5,940	-	-	5,940	5,940	23,758	23,758
20	Law Enforcement Grants	-	-	-	-	250	250	-	-	250	-	-	250	250	1,000	1,000
21	Professional Training Grants	-	-	-	-	250	250	-	-	250	-	-	250	250	1,000	1,000
22	Emergency Grants/Discretionary Grants	-	-	-	-	250	250	-	-	250	-	-	250	250	1,000	1,000
23	Total Special Line Items	-	56,875	58,938	115,812	1,153,035	919,189	-	1,145,235	6,690	1,145,236	-	6,690	6,690	4,607,700	4,657,700
24	Encumbrances	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
25	Fund Balance Per AFIS	3,958,710	6,078,401	5,952,785	5,813,145	4,628,879	3,678,423	3,603,615	5,675,413	5,622,447	4,423,333	4,369,449	4,315,219	4,315,219	5,190,258	5,308,900
26	Admin Exp. As % of Revenue (10% Cap) Projected for FY '19: 5.55%															
27	Legend (Budget Colors)															
28	Prepared: Green-Actual, Blue-Projected															
29	Updated: 10/4/18															

FY20

Projected July 2019 Starting FB
Original Appropriation (Adj) Working #:

Reim. Prog Grants
Total Revenue

(Adj) Lump Sum
Total