



Premium Finance Supplement License Application Instructions

Supplemental License Application instructions for license under Arizona Revised Statutes 6–1401 et Seq.

The enclosed supplemental license application package is to be used by all applicants: individuals, partnerships, corporations or business trusts. Until the Superintendent of Financial Institutions has issued the license to you, you cannot conduct the activity of a Premium Finance Company as defined in Arizona Revised Statutes 6–1401.

Financials: A current financial statement that has been prepared in accordance with GAAP must accompany this application. This must include a statement of operations and retained earnings and a statement of changes in financial position. It must also include notes to the financial statement, if applicable. If this statement was prepared more than 3 months prior to the date this application is filed, a balance sheet prepared within the previous 3 months which has been certified by the applicant must be provided.

Annual Report: See A.R.S. § 6–1408. Each licensee shall annually, on or before February 1, file a report for the preceding calendar year with the Superintendent.

License Expires: Once the license has been approved and issued, you will need to submit your renewal application on or before December 31 each year.